# Logics of Rational Agency Lecture 2

Eric Pacuit

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July 28, 2009

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#### Introduction, Motivation and Background

- Lecture 2: Basic Ingredients for a Logic of Rational Agency
- Lecture 3: Logics of Rational Agency and Social Interaction, Part I
- Lecture 4: Logics of Rational Agency and Social Interaction, Part II
- Lecture 5: Conclusions and General Issues

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#### Logics of Rational Agency

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What are the basic building blocks? (the nature of time (continuous or discrete/branching or linear), how (primitive) events or actions are represented, how causal relationships are represented and what constitutes a state of affairs.)

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Single agent vs. many agents.

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Single agent vs. many agents.

- What the the primitive operators?
  - Informational attitudes
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  - Normative attitudes

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Static vs. dynamic

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- ✓ informational attitudes (eg., knowledge, belief, certainty)
- $\checkmark$  group notions (eg., common knowledge and coalitional ability)
- $\checkmark$  time, actions and ability
- ✓ motivational attitudes (eg., preferences)
- $\checkmark$  normative attitudes (eg., obligations)

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Suppose there are three cards: 1, 2 and 3.

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What are the relevant states?

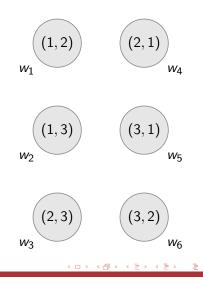
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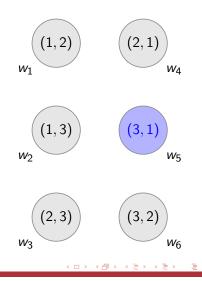
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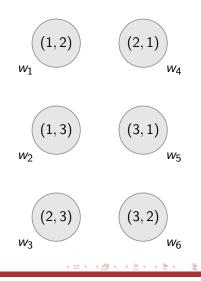
Ann receives card 3 and card 1 is put on the table



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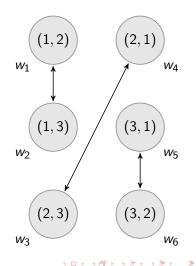
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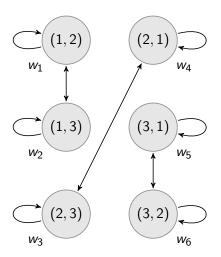


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Suppose there are three cards: 1, 2 and 3.

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Suppose  $H_i$  is intended to mean "Ann has card *i*"

 $T_i$  is intended to mean "card *i* is on the table"

Eg., 
$$V(H_1) = \{w_1, w_2\}$$

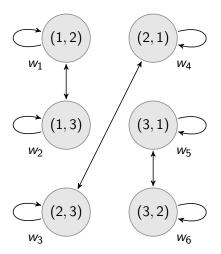


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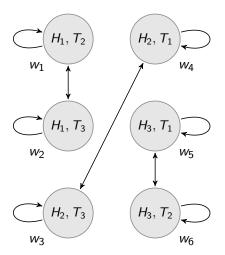
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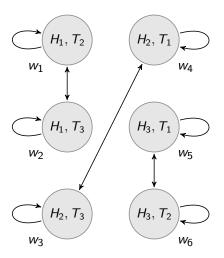
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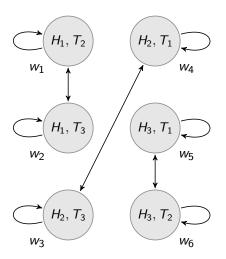
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Suppose that Ann receives card 1 and card 2 is on the table.



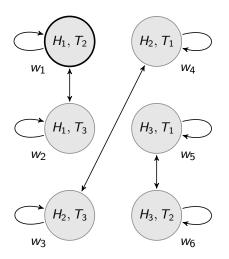
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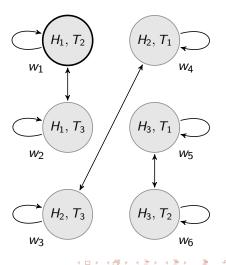


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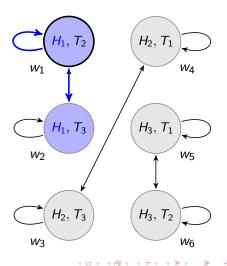


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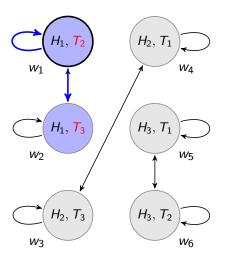
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 $\mathcal{M}, \textit{w}_1 \models \textit{K}\textit{H}_1$ 

 $\mathcal{M}, w_1 \models K \neg T_1$ 



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Suppose there are three cards: 1, 2 and 3.

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 $\mathcal{M}, w_1 \models LT_2$ 

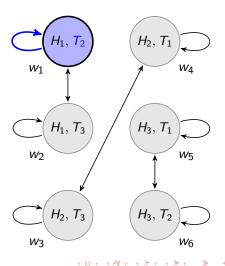


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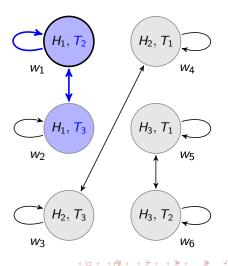
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 $\mathcal{M}, w_1 \models K(T_2 \lor T_3)$ 



# Some Questions

Should we make additional assumptions about R (i.e., reflexive, transitive, etc.)

What idealizations have we made?

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# Some Notation

A Kripke Frame is a tuple  $\langle W, R \rangle$  where  $R \subseteq W \times W$ .

 $\varphi$  is valid in a Kripke model  $\mathcal{M}$  if  $\mathcal{M}, w \models \varphi$  for all states w (we write  $\mathcal{M} \models \varphi$ ).

 $\varphi$  is valid on a Kripke frame  $\mathcal{F}$  if  $\mathcal{M} \models \varphi$  for all models  $\mathcal{M}$  based on  $\mathcal{F}$ .

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Logical Omniscience

#### **Fact:** $\varphi$ is valid then $K\varphi$ is valid

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Logical Omniscience

#### **Fact:** $K\varphi \wedge K\psi \rightarrow K(\varphi \wedge \psi)$ is valid on all Kripke frames

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**Fact:**  $K(\varphi \rightarrow \psi) \rightarrow (K\varphi \rightarrow K\psi)$  is valid on all Kripke frames.

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#### Definition

A model formula  $\varphi$  corresponds to a property P (of a relation in a Kripke frame) provided

 $\mathcal{F} \models \varphi \text{ iff } \mathcal{F} \text{ has } P$ 

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Modal Formula	Corresponding Property
$K\varphi  ightarrow \varphi$	Reflexive

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$K \varphi  ightarrow \varphi$	Reflexive
Karphi  ightarrow KKarphi	Transitive
$\neg K \varphi \rightarrow K \neg K \varphi$	Euclidean

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$\neg K \bot$	Serial

Modal Formula	Property	Philosophical Assumption

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Modal Formula	Property	Philosophical Assumption
$K(\varphi  ightarrow \psi)  ightarrow (K \varphi  ightarrow K \psi)$		Logical Omniscience

	Modal Formula	Property	Philosophical Assumption
-	${\it K}(arphi  ightarrow \psi)  ightarrow ({\it K} arphi  ightarrow {\it K} \psi)$		Logical Omniscience
	${\cal K}arphi ightarrow arphi$	Reflexive	Truth

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Modal Form	ıla Pr	operty	Philosophical Assumption
$K(\varphi  ightarrow \psi)  ightarrow (K arphi)$	$\rightarrow K\psi$ )	_	Logical Omniscience
Karphi ightarrow arphi	Re	flexive	Truth
Karphi  ightarrow KKarphi	🤉 🔤 Tra	insitive	Positive Introspection

Modal Formula	Property	Philosophical Assumption
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Karphi ightarrow arphi	Reflexive	Truth
${\it K}arphi ightarrow{\it K}{\it K}arphi$	Transitive	Positive Introspection
eg K arphi  ightarrow K  eg K arphi	Euclidean	Negative Introspection

Modal Formula	Property	Philosophical Assumption
$K(\varphi  ightarrow \psi)  ightarrow (K arphi  ightarrow K \psi)$		Logical Omniscience
${m K}arphi ightarrow arphi$	Reflexive	Truth
${\it K}arphi ightarrow{\it K}{\it K}arphi$	Transitive	Positive Introspection
eg K arphi  ightarrow K  eg K arphi arphi arphi	Euclidean	Negative Introspection
$ eg K \bot$	Serial	Consistency

# The Logic **S5**

The logic **S5** contains the following axioms and rules:

$$\begin{array}{ll} Pc & \text{Axiomatization of Propositional Calculus} \\ K & K(\varphi \rightarrow \psi) \rightarrow (K\varphi \rightarrow K\psi) \\ T & K\varphi \rightarrow \varphi \\ 4 & K\varphi \rightarrow KK\varphi \\ 5 & \neg K\varphi \rightarrow K\neg K\varphi \\ 5 & \neg K\varphi \rightarrow \psi \neg \psi \\ \hline MP & \frac{\varphi & \varphi \rightarrow \psi}{\psi} \\ Nec & \frac{\varphi}{K\psi} \end{array}$$

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#### Theorem

**S5** is sound and strongly complete with respect to the class of Kripke frames with equivalence relations.

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# Multi-agent Epistemic Logic

The Language:  $\varphi := p \mid \neg \varphi \mid \varphi \land \psi \mid K \varphi$ 

**Kripke Models**:  $\mathcal{M} = \langle W, R, V \rangle$  and  $w \in W$ 

**Truth**:  $\mathcal{M}, w \models \varphi$  is defined as follows:

## Multi-agent Epistemic Logic

The Language:  $\varphi := p \mid \neg \varphi \mid \varphi \land \psi \mid K_i \varphi$  with  $i \in \mathcal{A}$ 

**Kripke Models**:  $\mathcal{M} = \langle W, \{R_i\}_{i \in \mathcal{A}}, V \rangle$  and  $w \in W$ 

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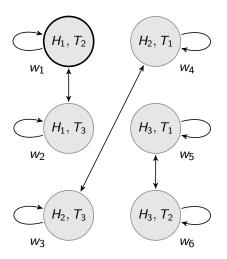
Multi-agent Epistemic Logic

- $K_A K_B \varphi$ : "Ann knows that Bob knows  $\varphi$ "
- ►  $K_A(K_B \varphi \lor K_B \neg \varphi)$ : "Ann knows that Bob knows whether  $\varphi$
- ¬K<sub>B</sub>K<sub>A</sub>K<sub>B</sub>(φ): "Bob does not know that Ann knows that Bob knows that φ"

Suppose there are three cards: 1, 2 and 3.

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Suppose that Ann receives card 1 and card 2 is on the table.



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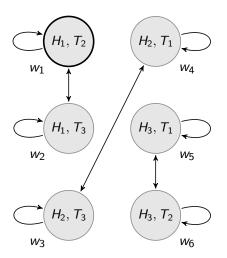
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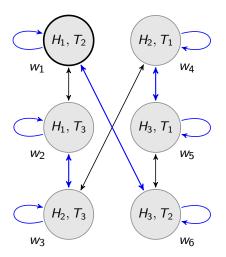
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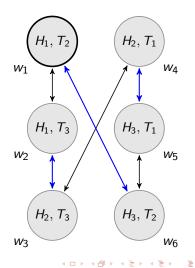
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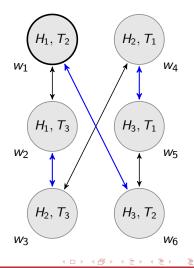


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$$\mathcal{M}, w \models K_B(K_A H_1 \vee K_A \neg H_1)$$

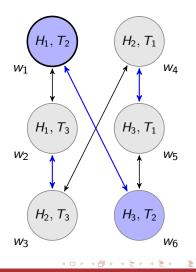


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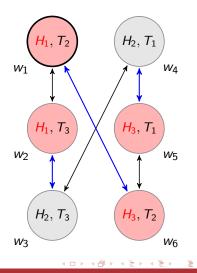


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- $K_A P \wedge K_B P$ : "Every one knows P".

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- $K_A EP$ : "Ann knows that everyone knows that P".
- EEP: "Everyone knows that everyone knows that P".

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 $K_A EP$ : "Ann knows that everyone knows that P".

EEP: "Everyone knows that everyone knows that P".

*EEEP*: "Everyone knows that everyone knows that everyone knows that P."

# Common Knowledge

CP: "It is common knowledge that P"

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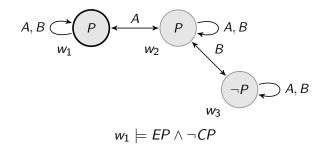
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Is common knowledge different from everyone knows?

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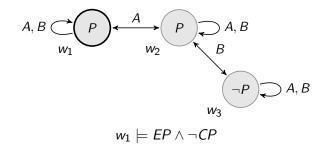
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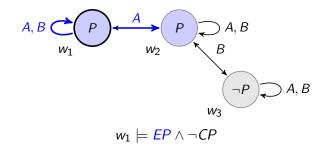
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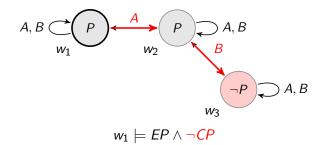
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Is common knowledge different from everyone knows?



# Spreading Gossip

Suppose that there are three friends, Ann, Bob and Charles, and Ann learns a interesting piece of news (P). If each of the friends are at home, how many calls are needed to create common knowledge that P?

Suppose there are two friends Ann and Bob are on a bus separated by a crowd.

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Say Ann is standing near the front door and Bob near the back door.

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The operator "everyone knows P", denoted EP, is defined as follows

$$EP := \bigwedge_{i \in \mathcal{A}} K_i P$$

 $w \models CP$  iff every finite path starting at w ends with a state satisfying P.

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#### $CP \rightarrow ECP$

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$$CP \rightarrow ECP$$

Suppose you are told "Ann and Bob are going together,"' and respond "sure, that's common knowledge." What you mean is not only that everyone knows this, but also that the announcement is pointless, occasions no surprise, reveals nothing new; in effect, that the situation after the announcement does not differ from that before. ...the event "Ann and Bob are going together" - call it P — is common knowledge if and only if some event call it Q — happened that entails P and also entails all players' knowing Q (like all players met Ann and Bob at an intimate party). (Robert Aumann)

#### $P \land C(P \rightarrow EP) \rightarrow CP$

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Two players Ann and Bob are told that the following will happen. Some positive integer n will be chosen and *one* of n, n + 1 will be written on Ann's forehead, the other on Bob's. Each will be able to see the other's forehead, but not his/her own.

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Do the agents know there numbers are less than 1000?

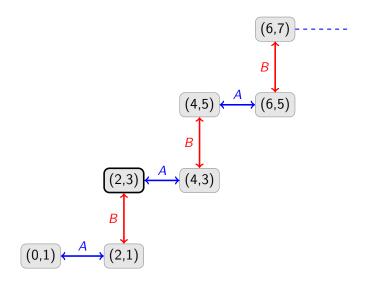
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Is it common knowledge that their numbers are less than 1000?

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Ann would like Bob to attend her talk; however, she only wants Bob to attend if he is interested in the subject of her talk, not because he is just being polite.

There is a very simple procedure to solve Ann's problem: *have a* (*trusted*) friend tell Bob the time and subject of her talk.

Is this procedure correct?

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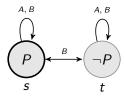
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There is a very simple procedure to solve Ann's problem: *have a* (*trusted*) friend tell Bob the time and subject of her talk.

Is this procedure correct? Yes, if

- 1. Ann knows about the talk.
- 2. Bob knows about the talk.
- 3. Ann knows that Bob knows about the talk.
- 4. Bob *does not* know that Ann knows that he knows about the talk.
- 5. And nothing else.

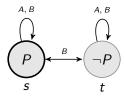
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P means "The talk is at 2PM".

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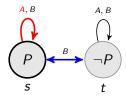
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 $\mathcal{M}, s \models K_A P \land \neg K_B P$ 

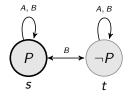
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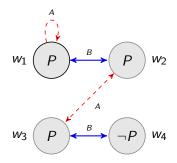


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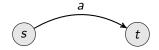
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Actions as transitions between states, or situations:

## Actions

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#### Propositional Dynamic Logic

Semantics:  $\mathcal{M} = \langle W, \{R_a \mid a \in P\}, V \rangle$  where for each  $a \in P$ ,  $R_a \subseteq W \times W$  and  $V : At \to \wp(W)$ 

$$\begin{array}{l} \triangleright \ R_{\alpha \cup \beta} := R_{\alpha} \cup R_{\beta} \\ \triangleright \ R_{\alpha;\beta} := R_{\alpha} \circ R_{\beta} \\ \triangleright \ R_{\alpha^*} := \cup_{n \ge 0} R_{\alpha}^n \\ \bullet \ R_{\varphi?} = \{(w, w) \mid \mathcal{M}, w \models \varphi\} \end{array}$$

 $\mathcal{M}, w \models [\alpha] \varphi$  iff for each v, if  $w R_{\alpha} v$  then  $\mathcal{M}, v \models \varphi$ 

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### Background: Propositional Dynamic Logic

- 1. Axioms of propositional logic
- 2.  $[\alpha](\varphi \to \psi) \to ([\alpha]\varphi \to [\alpha]\psi)$
- **3**.  $[\alpha \cup \beta]\varphi \leftrightarrow [\alpha]\varphi \wedge [\beta]\varphi$
- **4**.  $[\alpha; \beta]\varphi \leftrightarrow [\alpha][\beta]\varphi$
- 5.  $[\psi?]\varphi \leftrightarrow (\psi \rightarrow \varphi)$
- **6**.  $\varphi \wedge [\alpha][\alpha^*]\varphi \leftrightarrow [\alpha^*]\varphi$
- 7.  $\varphi \wedge [\alpha^*](\varphi \to [\alpha]\varphi) \to [\alpha^*]\varphi$
- 8. Modus Ponens and Necessitation (for each program  $\alpha$ )

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- 5.  $[\psi?]\varphi \leftrightarrow (\psi \rightarrow \varphi)$
- 6.  $\varphi \wedge [\alpha][\alpha^*]\varphi \leftrightarrow [\alpha^*]\varphi$  (Fixed-Point Axiom)
- 7.  $\varphi \wedge [\alpha^*](\varphi \to [\alpha]\varphi) \to [\alpha^*]\varphi$  (Induction Axiom)
- 8. Modus Ponens and Necessitation (for each program  $\alpha$ )

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Propositional Dynamic Logic

**Theorem PDL** is sound and weakly complete with respect to the Segerberg Axioms.

**Theorem** The satisfiability problem for **PDL** is decidable (EXPTIME-Complete).

D. Kozen and R. Parikh. A Completeness proof for Propositional Dynamic Logic.

D. Harel, D. Kozen and Tiuryn. Dynamic Logic. 2001.

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Actions and Ability

An early approach to interpret PDL as logic of actions was put forward by Krister Segerberg.

Segerberg adds an "agency" program to the PDL language  $\delta A$  where A is a formula.

K. Segerberg. Bringing it about. JPL, 1989.

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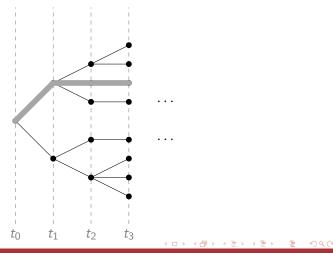
The axioms:

- **1**. [δ*A*]*A*
- **2**.  $[\delta A]B \rightarrow ([\delta B]C \rightarrow [\delta A]C)$

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## Logics of Action and Agency

Alternative accounts of agency do not include explicit description of the actions:



- Each node represents a choice point for the agent.
- A history is a maximal branch in the above tree.
- Formulas are interpreted at history moment pairs.
- At each moment there is a choice available to the agent (partition of the histories through that moment)
- The key modality is [stit]φ which is intended to mean that the agent i can "see to it that φ is true".
  - [stit]φ is true at a history moment pair provided the agent can choose a (set of) branch(es) such that every future history-moment pair satisfies φ

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We use the modality ' $\Diamond$  ' to mean historic possibility.

 $\Diamond[stit] \varphi$ : "the agent has the ability to bring about  $\varphi$ ".

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**Example** Consider the example of an agent (call her Ann) throwing a dart. Suppose Ann is not a very good dart player, but she just happens to throw a bull's eye. Intuitively, we do not want to say that Ann has the *ability* to throw a bull's eye even though it happens to be true. That is, the following principle should be falsifiable:

 $\varphi \rightarrow \Diamond [stit] \varphi$ 

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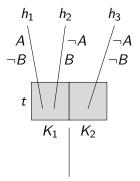
**Example** Continuing with this example, suppose that Ann has the ability to hit the dart board, but has no other control over the placement of the dart. Now, when she throws the dart, as a matter of fact, it will either hit the top half of the board or the bottom half of the board. Since, Ann has the ability to hit the dart board, she has the ability to either hit the top half of the board or the bottom half of the board.

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However, intuitively, it seems true that Ann does not have the ability to hit the top half of the dart board, and also she does not have the ability to hit the bottom half of the dart board. Thus, the following principle should be falsifiable:

$$\Diamond[\mathsf{stit}](\varphi \lor \psi) \to \Diamond[\mathsf{stit}]\varphi \lor \Diamond[\mathsf{stit}]\psi$$

The following model will falsify both of the above formulas:



J. Horty. Agency and Deontic Logic. 2001.

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End of lecture 2.

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