

# Logic and Artificial Intelligence

## Lecture 5

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# Knowledge, belief and (un)awareness

## Adding Beliefs

**Epistemic Models:**  $\mathcal{M} = \langle W, \{\sim_i\}_{i \in \mathcal{A}}, V \rangle$

**Truth:**  $\mathcal{M}, w \models \varphi$  is defined as follows:

- ▶  $\mathcal{M}, w \models p$  iff  $w \in V(p)$  (with  $p \in \text{At}$ )
- ▶  $\mathcal{M}, w \models \neg\varphi$  if  $\mathcal{M}, w \not\models \varphi$
- ▶  $\mathcal{M}, w \models \varphi \wedge \psi$  if  $\mathcal{M}, w \models \varphi$  and  $\mathcal{M}, w \models \psi$
- ▶  $\mathcal{M}, w \models K_i\varphi$  if for each  $v \in W$ , if  $w \sim_i v$ , then  $\mathcal{M}, v \models \varphi$

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**Assumptions:**

1. *plausibility implies possibility:* if  $w \preceq_i v$  then  $w \sim_i v$ .
2. *locally-connected:* if  $w \sim_i v$  then either  $w \preceq_i v$  or  $v \preceq_i w$ .



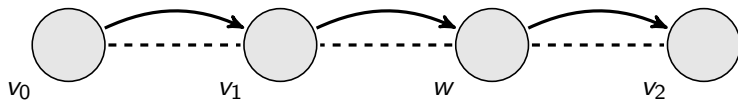
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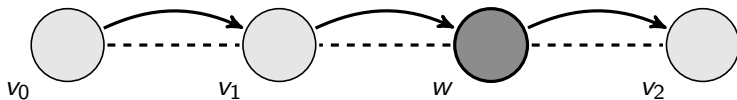
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- ▶  $\mathcal{M}, w \models B_i\varphi$  if for each  $v \in \text{Min}_{\preceq_i}([w]_i)$ ,  $\mathcal{M}, v \models \varphi$   
 $[w]_i = \{v \mid w \sim_i v\}$  is the agent's **information cell**.

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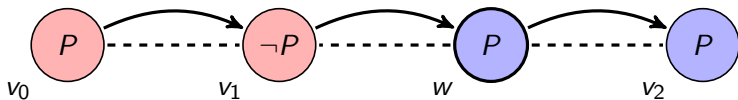
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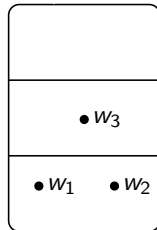


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- ▶ **Knowledge** ( $KP$ )

## Conditional Beliefs

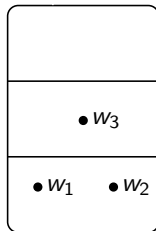
▶  $w_1 \sim w_2 \sim w_3$





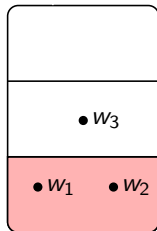
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- ▶  $\{w_1, w_2\} \subseteq \text{Min}_{\preceq}([w_i])$

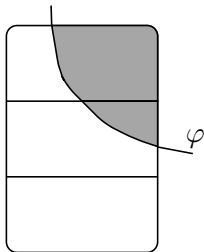


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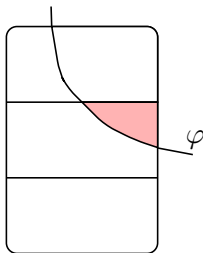
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### Core Logical Principles:

1.  $B^\varphi \varphi$
2.  $B^\varphi \psi \rightarrow B^\varphi(\psi \vee \chi)$
3.  $(B^\varphi \psi_1 \wedge B^\varphi \psi_2) \rightarrow B^\varphi(\psi_1 \wedge \psi_2)$
4.  $(B^{\varphi_1} \psi \wedge B^{\varphi_2} \psi) \rightarrow B^{\varphi_1 \vee \varphi_2} \psi$
5.  $(B^\varphi \psi \wedge B^\psi \varphi) \rightarrow (B^\varphi \chi \leftrightarrow B^\psi \chi)$

J. Burgess. *Quick completeness proofs for some logics of conditionals*. *Notre Dame Journal of Formal Logic* 22, 76 – 84, 1981.

## Types of Beliefs: Logical Characterizations

- ▶  $\mathcal{M}, w \models K_i \varphi$  iff  $\mathcal{M}, w \models B_i^\psi \varphi$  for all  $\psi$   
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- ▶  $\mathcal{M}, w \models \Box_i \varphi$  iff  $\mathcal{M}, w \models B_i^\psi \varphi$  for all  $\psi$  with  $\mathcal{M}, w \models \psi$ .  
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 $i$  robustly believes  $\varphi$  iff  $i$  continues to believe  $\varphi$  given any true formula.
- ▶  $\mathcal{M}, w \models B_i^s \varphi$  iff  $\mathcal{M}, w \models B_i \varphi$  and  $\mathcal{M}, w \models B_i^\psi \varphi$  for all  $\psi$  with  $\mathcal{M}, w \models \neg K_i(\psi \rightarrow \neg \varphi)$ .  
 $i$  strongly believes  $\varphi$  iff  $i$  believes  $\varphi$  and continues to believe  $\varphi$  given any evidence (truthful or not) that is not known to contradict  $\varphi$ .



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- ▶ The agent may believe  $\varphi$  and ruled-out the  $\neg\varphi$ -worlds, but this was based on “bad” **evidence**, or was not **justified**, or the agent was “**epistemically lucky**” (eg., Gettier cases),...

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- ▶ The agent has not yet entertained possibilities relevant to the truth of  $\varphi$  (the agent is **unaware** of  $\varphi$ ).

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E. Dekel, B. Lipman and A. Rustichini. *Standard State-Space Models Preclude Unawareness*. *Econometrica*, 55:1, pp. 159 - 173 (1998).

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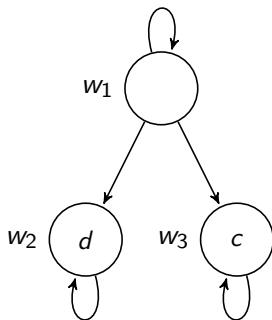
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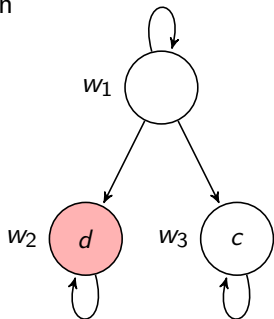
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## Modeling Watson's Unawareness



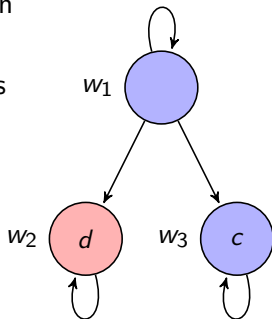
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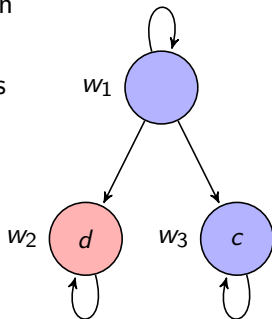
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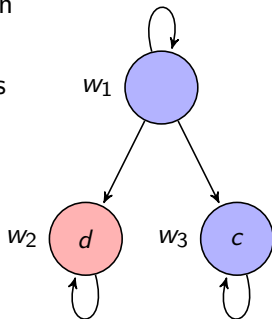
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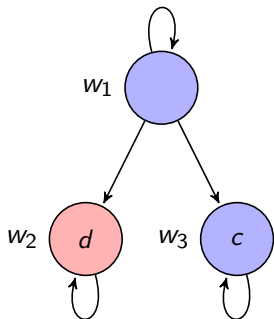
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- ▶  $\neg K(E) \cap \neg K(\neg K(E)) = \{w_1\}$  and, in fact,  $\bigcap_{i=1}^{\infty} (\neg K)^i(E) = \{w_1\}$



## Modeling Watson's Unawareness

- ▶  $E = \{w_2\}$
- ▶  $K(E) = \{w_2\}$ ,  $-K(E) = \{w_1, w_3\}$
- ▶  $K(-K(E)) = \{w_3\}$ ,  
 $-K(-K(E)) = \{w_1, w_2\}$
- ▶  $-K(E) \cap -K(-K(E)) = \{w_1\}$ ,  
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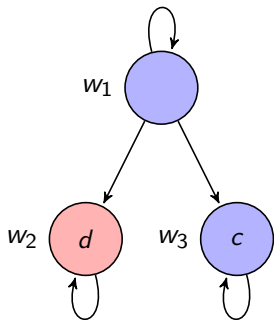
Let  $U(F) = \bigcap_{i=1}^{\infty} (-K)^i(F)$ . Then,

- ▶  $U(\emptyset) = U(W) = U(\{w_1\}) = U(\{w_2, w_3\}) = \emptyset$
- ▶  $U(E) = U(\{w_3\}) = U(\{w_1, w_3\}) = U(\{w_1, w_2\}) = \{w_1\}$



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Then,  $U(E) = \{w_1\}$  and  $U(U(E)) = U(\{w_1\}) = \emptyset$

## Properties of Unawareness

1.  $U\varphi \rightarrow (\neg K\varphi \wedge \neg K\neg K\varphi)$

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2.  $\neg KU\varphi$
3.  $U\varphi \rightarrow UU\varphi$

**Theorem.** In any logic where  $U$  satisfies the above axiom schemes, we have

1. If  $K$  satisfies Necessitation (from  $\varphi$  infer  $K\varphi$ ), then for all formulas  $\varphi$ ,  $\neg U\varphi$  is derivable (the agent is aware of everything); and
2. If  $K$  satisfies Monotonicity (from  $\varphi \rightarrow \psi$  infer  $K\varphi \rightarrow \psi$ ), then for all  $\varphi$  and  $\psi$ ,  $U\varphi \rightarrow \neg K\psi$  is derivable (if the agent is unaware of something then the agent does not know anything).

B. Schipper. *Online Bibliography on Models of Unawareness*. <http://www.econ.ucdavis.edu/faculty/schipper/unaw.htm>.

J. Halpern. *Alternative semantics for unawareness*. *Games and Economic Behavior*, 37, 321-339, 2001.

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# Epistemic-Probability Models

## Adding Probabilities

**Epistemic-Probability Model:**  $\mathcal{M} = \langle W, \{\sim_i\}_{i \in \mathcal{A}}, \{P_i\}_{i \in \mathcal{A}}, V \rangle$   
where each  $\sim_i$  is an equivalence relation on  $W$  is an epistemic model and  $P_i : W \rightarrow \Delta(W)$  assigns to each state a probability measure over  $W$ , and  $V$  is a valuation function.

$$\Delta(W) = \{p : W \rightarrow [0, 1] \mid p \text{ is a probability measure } \}$$



## Adding Probabilities

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$$\Delta(W) = \{p : W \rightarrow [0, 1] \mid p \text{ is a probability measure } \}$$

Write  $p_i^w$  for the  $i$ 's probability measure at state  $w$ . We make two natural assumptions:

1. For all  $v \in W$ , if  $p_i^w(v) > 0$  then  $p_i^w = p_i^v$ ; and
2. For all  $v \notin [w]_i$ ,  $p_i^w(v) = 0$ .

## Common Prior

**Epistemic-Probabilistic Models:**  $\mathcal{M} = \langle W, \{\sim_i\}_{i \in \mathcal{A}}, p, V \rangle$

**Common Prior:**  $p : W \rightarrow [0, 1]$  is a probability measure (assume  $W$  finite)

**Truth:**  $\mathcal{M}, w \models \varphi$  is defined as follows:

- ▶  $\mathcal{M}, w \models p$  iff  $w \in V(p)$  (with  $p \in \text{At}$ )
- ▶  $\mathcal{M}, w \models \neg\varphi$  if  $\mathcal{M}, w \not\models \varphi$
- ▶  $\mathcal{M}, w \models \varphi \wedge \psi$  if  $\mathcal{M}, w \models \varphi$  and  $\mathcal{M}, w \models \psi$
- ▶  $\mathcal{M}, w \models K_i\varphi$  if for each  $v \in W$ , if  $w \sim_i v$ , then  $\mathcal{M}, v \models \varphi$
- ▶  $\mathcal{M}, w \models B^r\varphi$  iff  $p(\llbracket \varphi \rrbracket \mid [w]_i) = \frac{p(\llbracket \varphi \rrbracket \cap [w]_i)}{p([w]_i)} \geq r$

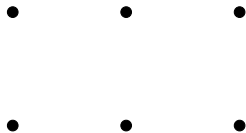
## An Example

		Bob	
		<i>L</i>	<i>R</i>
Ann	<i>U</i>	3,3	0,0
	<i>D</i>	0,0	1,1

## An Example

		Bob	
		<i>L</i>	<i>R</i>
Ann	<i>U</i>	3,3	0,0
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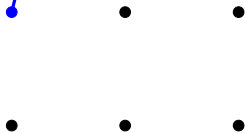
A set of **information states**



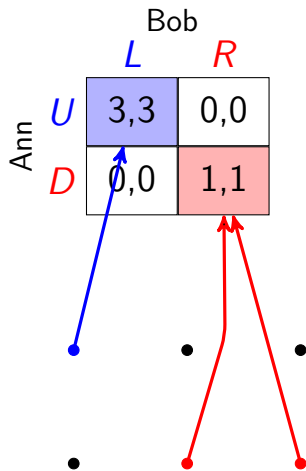
## An Example

		Bob	
		<i>L</i>	<i>R</i>
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A set of information states



## An Example

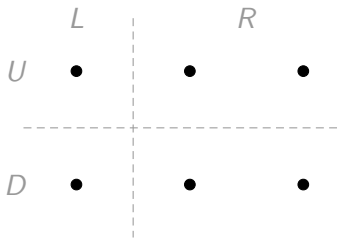


A set of information states

## An Example

		Bob	
		<i>L</i>	<i>R</i>
Ann	<i>U</i>	3,3	0,0
	<i>D</i>	0,0	1,1

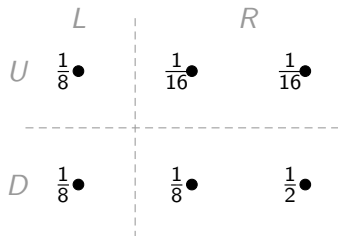
A set of **information states**



## An Example

		Bob	
		<i>L</i>	<i>R</i>
Ann	<i>U</i>	3,3	0,0
	<i>D</i>	0,0	1,1

A common prior

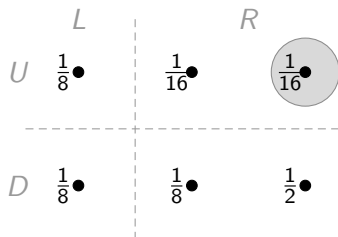




## An Example

		Bob	
		<i>L</i>	<i>R</i>
Ann	<i>U</i>	3,3	0,0
	<i>D</i>	0,0	1,1

Suppose Ann chooses *U*  
and Bob chooses *R*

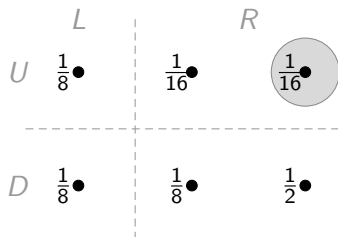


## An Example

		Bob	
		L	R
Ann	U	3,3	0,0
	D	0,0	1,1

Suppose Ann chooses  $U$   
and Bob chooses  $R$

Are these choices *rational*?

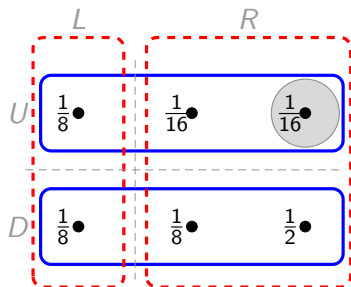


## An Example

		Bob	
		L	R
Ann	U	3,3	0,0
	D	0,0	1,1

Suppose Ann chooses  $U$   
and Bob chooses  $R$

Are these choices *rational*?

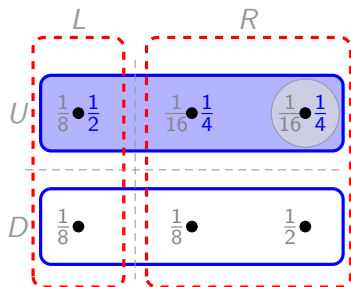


## An Example

		Bob	
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Ann	U	3,3	0,0
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Suppose Ann chooses  $U$   
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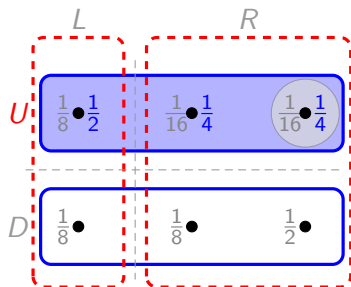


## An Example

		Bob	
		L	R
Ann	U	3,3	0,0
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Suppose Ann chooses  $U$   
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Are these choices *rational*?



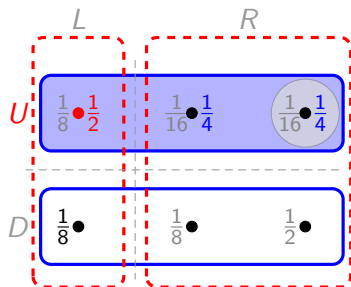
$$3 \cdot P_A(L) + 0 \cdot P_A(R) \geq 0 \cdot P_A(L) + 1 \cdot P_A(R)$$

## An Example

		Bob	
		L	R
Ann	U	3,3	0,0
	D	0,0	1,1

Suppose Ann chooses  $U$   
and Bob chooses  $R$

Are these choices *rational*?



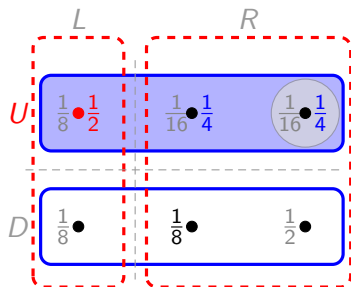
$$3 \cdot P_A(L) + 0 \cdot P_A(R) \geq 0 \cdot P_A(L) + 1 \cdot P_A(R)$$

## An Example

		Bob	
		L	R
Ann	U	3,3	0,0
	D	0,0	1,1

Suppose Ann chooses  $U$   
and Bob chooses  $R$

Are these choices *rational*?



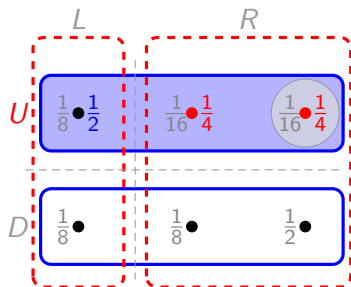
$$3 \cdot \frac{1}{2} + 0 \cdot P_A(R) \geq 0 \cdot \frac{1}{2} + 1 \cdot P_A(R)$$

## An Example

		Bob	
		L	R
Ann	U	3,3	0,0
	D	0,0	1,1

Suppose Ann chooses  $U$   
and Bob chooses  $R$

Are these choices *rational*?



$$3 \cdot \frac{1}{2} + 0 \cdot \frac{1}{2} \geq 0 \cdot \frac{1}{2} + 1 \cdot \frac{1}{2}$$

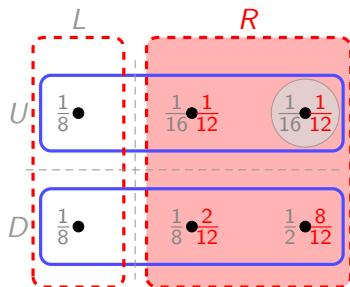


## An Example

		Bob	
		L	R
Ann	U	3,3	0,0
	D	0,0	1,1

Suppose Ann chooses  $U$   
and Bob chooses  $R$

Are these choices *rational*?



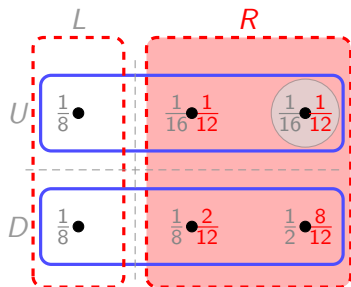
$$0 \cdot P_B(U) + 1 \cdot P_B(D) \geq 3 \cdot P_B(U) + 0 \cdot P_B(D)$$

## An Example

		Bob	
		L	R
Ann	U	3,3	0,0
	D	0,0	1,1

Suppose Ann chooses  $U$   
and Bob chooses  $R$

Are these choices *rational*?



$$0 \cdot \frac{2}{12} + 1 \cdot \frac{10}{12} \geq 3 \cdot \frac{2}{12} + 0 \cdot \frac{10}{12}$$

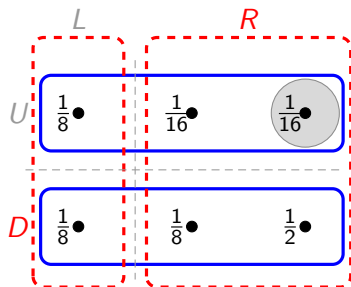
## An Example

		Bob	
		L	R
Ann	U	3,3	0,0
	D	0,0	1,1

Suppose Ann chooses  $U$   
and Bob chooses  $R$

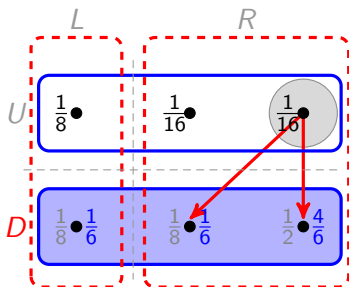
Are these choices *rational*?

Yes.



## An Example

		Bob	
		L	R
Ann	U	3,3	0,0
	D	0,0	1,1



Suppose Ann chooses  $U$   
and Bob chooses  $R$

Are these choices *rational*?

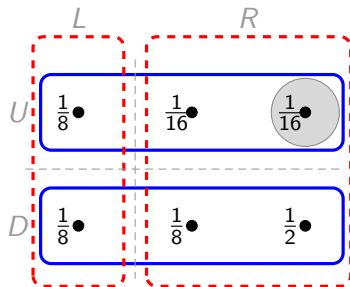
Yes.

Bob (Ann) *knows* that  
Ann (Bob) is *rational*

$$0 \cdot \frac{1}{6} + 1 \cdot \frac{5}{6} \geq 3 \cdot \frac{1}{6} + 0 \cdot \frac{5}{6}$$

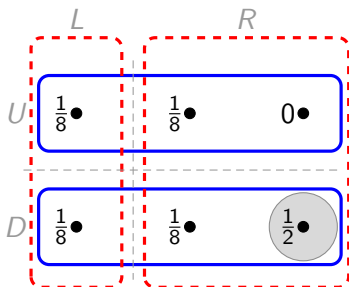
## Two Issues

		Bob	
		<i>L</i>	<i>R</i>
Ann	<i>U</i>	3,3	0,0
	<i>D</i>	0,0	1,1



## Two Issues

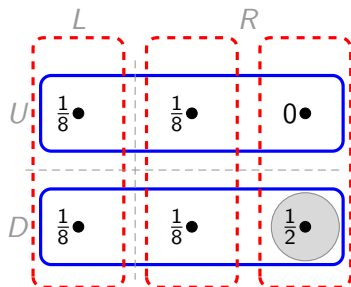
		Bob	
		L	R
Ann	U	3,3	0,0
	D	0,0	1,1



1. Zero probability  $\neq$  “impossible”

## Two Issues

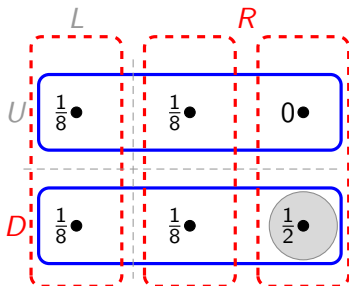
		Bob	
		L	R
Ann	U	3,3	0,0
	D	0,0	1,1



1. Zero probability  $\neq$  “impossible”
2. Different “types” of players can make the same choice

## Two Issues

		Bob	
		L	R
Ann	U	3,3	0,0
	D	0,0	1,1

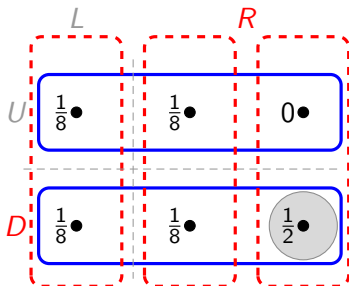


1. Zero probability  $\neq$  “impossible”
  2. Different “types” of players can make the same choice
- Are Ann and Bob rational?



## Two Issues

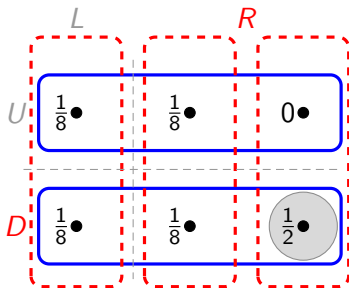
		Bob	
		L	R
Ann	U	3,3	0,0
	D	0,0	1,1



1. Zero probability  $\neq$  “impossible”
  2. Different “types” of players can make the same choice
- Are Ann and Bob rational? **Yes.**

## Two Issues

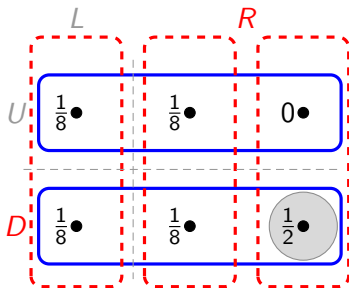
		Bob	
		L	R
Ann	U	3,3	0,0
	D	0,0	1,1



1. Zero probability  $\neq$  “impossible”
2. Different “types” of players can make the same choice
  - ▶ Are Ann and Bob rational? **Yes.**
  - ▶ Do they *know* that each other is rational? **No.**

## Two Issues

		Bob	
		L	R
Ann	U	3,3	0,0
	D	0,0	1,1



1. Zero probability  $\neq$  “impossible”
2. Different “types” of players can make the same choice
  - ▶ Are Ann and Bob rational? **Yes.**
  - ▶ Do they *know* that each other is rational? **No.**  
(though  $Pr_{Bob}(Irrat(Ann)) = 0$ )

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Next: Common Knowledge